

# Resources for EBP Related Operating Standards

Prepared by Carey Group for the Pennsylvania Partnership for Criminal Justice Improvement

December 2024

## **Project Funding**

This project was paid for by federal and state funds from the Pennsylvania Commission on Crime and Delinquency.



## Introduction

This document was developed to assist county probation and parole departments in identifying available resources to assist in complying with operating standards that are related to evidence-based practices. Resources are hyperlinks to documents, tools, videos, or webinar recordings posted on the County Chief Adult Probation and Parole Officers Association of PA website under EBP Stakeholder resources webpages. This is not an exhaustive list of all available resources and will be updated as additional resources become available.

## EBP Related Standards

### B.2. Assessment Process

The agency has an actuarial assessment process that identifies offender programming needs, risk of reoffending, and level of supervision. There are provisions for regular review. The assessment process includes the following:

- An initial assessment of appropriate offenders using a standardized and validated third generation or newer assessment tool
- Referrals for additional assessments or evaluations when needed. (CRN, Drug and Alcohol, MH/ID, sex offender, domestic violence, etc.)
- Personal interview with the offender
- Assessment or reassessment results are recorded in the case file and communicated with the offender

#### Resources:

- [Risk and Needs Assessment, User Guide – Volume 1](#)
- [Risk and Needs Assessment, User Guide – Specialized Assessments](#)
- [Improving Our Efficiency and Effectiveness Through the Use of Risk and Needs Assessments \(Webinar\)](#)
- [Assessments \(Brief\)](#)
- [Ohio Risk Assessment System – Community Supervision Tool](#)

### B.3. Category of Supervision

Offenders are placed in the appropriate supervision category within 30 to 60 days of their being assigned to a supervising officer. Offenders are reassessed at least every twelve months or if new circumstances dictate and reassessment reviews are documented in chronological order. Adjustments to the individual case plan are made based on reassessment and are made in accordance with the offender's performance in the community.

#### Resources

- [Caseload Guidelines: Strategies and Recommendations](#)

- [Caseload Guidelines \(Webinar\)](#)
- [Supervising People at Low Risk \(Brief\)](#)
- [Supervising People at Moderate and High Risk \(Brief\)](#)
- [Supervising People at Extremely High Risk \(Brief\)](#)
- [Dosage, Intensity, and Duration \(Brief\)](#)

### **B.5. Targeted Interventions**

Offenders are provided with interventions targeted to those dynamic risk factors identified on the assessment.

#### **Resources**

- [Manual of Effective Correctional Programs](#)
- [Effective Correctional Programs \(Webinar\)](#)
- [Targeting Skills to Change Behavior \(Brief\)](#)
- [Effective Correctional Interventions \(Brief\)](#)
- [Skills and Four-Step Check-in \(Video\) and Worksheet](#)
- [Performing a Program and Service Gap Analysis](#)

### **B.6. Supervision Strategies**

The agency supports supervision strategies and programs that have been scientifically demonstrated to enhance compliance with the court or releasing authority-ordered conditions, and that reduce criminal behavior, such as use of practices including but not limited to the following:

- Assess Actuarial Risk/Needs
- Enhance Intrinsic Motivation
- Target Interventions
- Skill Train with Direct Practice (CBT methods)
- Increase Positive Reinforcement
- Engage Ongoing Community Support
- Measure Relevant Processes/Practices
- Provide Measurement Feedback

#### **Resources**

- [Changing Probation and Parole Practices \(Brief\)](#)
- [Building Rapport \(Brief\)](#)
- [Collaborating with Families \(Brief\)](#)
- [Collecting, Analyzing, and Sharing Data \(Brief\)](#)
- [Building Professional Alliance \(Video\) and Worksheet](#)
- [Overcoming Resistance to Change \(Video\) and Worksheet](#)

### **B.7. Individualized Case Plan**

---

An individualized case plan is developed for appropriate offenders as determined by the risk and need assessment. This plan is reviewed and approved by a supervisor. The offender receives a copy of the case plan. The case plan includes as appropriate:

- the appropriate level of supervision as determined by the offender’s risk and need
- development of goals and objectives that address community safety and offender needs to reduce recidivism
- objectives to be met by the offender and the field officer
- services that address the offender’s needs

#### **Resources**

- [Success Plan Template](#)
- [Success Plan \(Webinar\)](#)
- [Goals, Action Steps, and Activities \(Reference Guide\)](#)
- [Case Planning \(Brief\)](#)
- [Case Planning \(Video\) and Worksheet](#)

### **B.8. Case Plan Development**

Staff and offender jointly develop and complete the individualized case plan within 60 days of the completion of the risk and need assessment. The case plan includes measurable criteria of expected behavior and accomplishments, a time schedule for achieving specific objectives and goals, and scheduled progress reviews. The case plan is documented with staff and offender signatures. Any change in an individualized case plan is reviewed and discussed with the offender.

#### **Resources**

- *See resources listed under B.7.*

### **B.9. Case Plan Review, Reassessments**

Agency policy governs the time frame for the review, re-assessment, and modification of the individualized case plan and level of supervision. The offender’s progress in achieving objectives is documented.

#### **Resources**

- *See resources listed under B.7.*

### **B.22. Graduated Responses to Compliance/Non-compliance**

The agency has a series of graduated and proportionate responses to address compliance and non-compliance with conditions of supervision.

#### **Resources**

- [Best Practices for Reinforcements and Responses to Noncompliance](#)
- [Best Practices for Reinforcements and Responses to Noncompliance \(Webinar\)](#)

- [Effective Use of Reinforcement \(Brief\)](#)
- [Effective Responses to Noncompliant Behavior \(Brief\)](#)
- [Preventing and Responding to Relapse \(Brief\)](#)

## **General Implementation of EBP**

### **Resources:**

- Departments are encouraged to review [EBP Stakeholder Resources](#), [EBP Briefs](#), and the [PPCJI Newsletter Archive](#).
- [Blueprint for EBP Implementation](#)
- [Implementing EBP: A Staged Approach, Introduction and Stage 1](#)
- [Implementing EBP: A Staged Approach, Stage 2](#)
- [Implementing EBP: A Staged Approach, Stage 3](#)
- [Implementing EBP: A Staged Approach, Stage 4](#)